

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

7-18-03

In re application of:

Donald STONE

Art Unit: 2167

Appl. No. 09/891,374

Examiner: NOT YET ASSIGNED

Confirmation No. 2405

Atty. Docket No. 33343-173349

Filed: June 27, 2001

Customer No.

For: RULE-BASED SYSTEM AND APPARATUS FOR RATING

TRANSACTIONS

26694
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Information Disclosure Statement

Assistant Commissioner for Patents Washington, D.C. 20231

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Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(b).

In order to comply the duty of disclosure pursuant to 37 C.F.R. § 1.56, submitted herewith is a form listing the documents cited in the International Search report of International Application No. PCT/US02/20123. The relevance of each document is indicated in the International Search Report.

Copies of the documents are not being provided since copies are furnished directly by WIPO under an exchange program between the PTO, the EPO and the JPO.

If the copies were not furnished and a copy of a cited document is desired, please inform the undersigned and a copy of the missing document will be supplied.

In view of the above, no further statement of relevance need be given, and as all requirements of 37 C.F.R. § 1.97 and § 1.98, and all official guidelines pertaining to

Information Disclosure Statements have been complied with, it is respectfully requested that the Examiner consider the cited publications and make them of record.

Respectfully submitted,

Date: 7-\$1-03

Jeffer A. Kaminski

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Substitute for form 1449A/PTO				Complete if Known	N. P.
			Application Number	09/891,374	70
INFC	RMATION	DISCLOSUR	Filing Date	June 27, 2001	
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			Group Art Unit	2167	
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Sheet	1	of 1	Attorney Docket Number	33343-173349	7

	U.S. PATENT DOCUMENTS							
Examiner Cite No.1		U.S. Patent Docume	nent	Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevan		
	Number Kind Coo		of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear			
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Examiner Initials*	Cite No.1	For Office ³	eign Patent Doo	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		WO	97/05734		Allison et al.	2/13/1997		
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		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
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